



2/43A
PATENT APPLICATION
Docket No. 6647-017
IDR-451

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Stephen R. CARTER and Delos C. JENSEN

Serial No.: 09/654,660

Examiner: Arrienne M. LEZAK

Filed: 9/05/2000

Group Art Unit: 2143

Title: **POLICY ENFORCEMENT USING THE SEMANTIC CHARACTERIZATION OF TRAFFIC**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Enclosed for filing in the above-referenced application are the following:

- Transmittal Letter (in duplicate)
- Copy of Notice to File Missing Parts
- Applicant claims small entity status (see 37 CFR 1.27)
- [Publication and] Issue Fee
- Formal Drawings sheet(s)
- Assignment and Cover
- (Supplemental) Information Disclosure Statement
 - PTO Form 1449 Publication references cited
- Combined Declaration and Power of Attorney
- Declaration
- Power of Attorney
- Surcharge for Late Filing of Fee or Oath or Declaration
 - \$65 - Small Entity \$130 - Large Entity
- In connection with issuance of a patent:
 - Supplemental Declaration PTO Form 85B
- PTO Form 2038 authorizing credit card payment for the above-listed fees
- A check in the amount of \$ to cover the above-listed fees.
- Any deficiency or overpayment should be charged or credited to deposit account number 13-1703.

Respectfully Submitted,
MARGER, JOHNSON & McCOLLOM

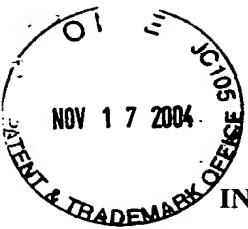
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I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.
Date: November 15, 2004

Jeanne Bower

Jeanne Bower



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Attorney Docket No. 6647-017
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INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97 (b); or

(Within three months of filing national application; or date of entry of International application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97 (c) together with either a:

Statement under 37 CFR 1.97 (e), or

a \$180 fee under 37 CFR 1.17 (p); or

(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)

under 37 CFR 1.97 (d) together with:

Statement under 37 CFR 1.97 (e), and

a \$180.00 fee set forth in 37 CFR 1.17 (p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Form PTO 1449 Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703.

11/18/2004 FMETEKI1 00000026 09654660

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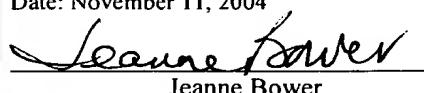
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Jeanne Bower

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Serial No.: 09/654,660

Examiner: Arrienne M. LEZAK

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INFORMATION DISCLOSURE STATEMENT
PTO-1449

U.S. PATENT DOCUMENTS

Exam Init	Ref	Document Number	Issue Date	Name	Class	Sub Class
		5,276,677	1/04/94	Ramamurthy et al.	370	60
		5,317,507	5/31/94	Gallant	364	419.13
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		5,675,819	10/07/97	Schuetze	704	10
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		5,778,362	7/07/98	Deerwester	707	5
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		Chomsky, <u>"Language and Thought,"</u> 1993, pgs. 18-19, 22-27, 44-45, 60-61.
		Chomsky, <u>"The Minimalist Program,"</u> 1995, pgs. 13-65.
		Cook et al., <u>"Chomsky's Universal Grammar – An Introduction,"</u> 1988, pgs. 40-74, 131-272.
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		<u>"Platform for Internet Content Selection (PICS),"</u> http://www.w3.org , pgs. 1-8.
		Singh, <u>"Unifying Heterogeneous Information Models,"</u> <i>Tesserae Information Systems, Inc.</i> , http://citeseer.ist.psu.edu.com , pgs. 1-13
		Smith, <u>"Computers and human language,"</u> 1991, pgs. 205-257.
		<u>"WordNet – a lexical database for the English language,"</u> <i>Cognitive Science Laboratory, Princeton University</i> , www.cogsci.princeton.edu , 2 pgs.

Examiner: _____

Date Considered: _____

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